

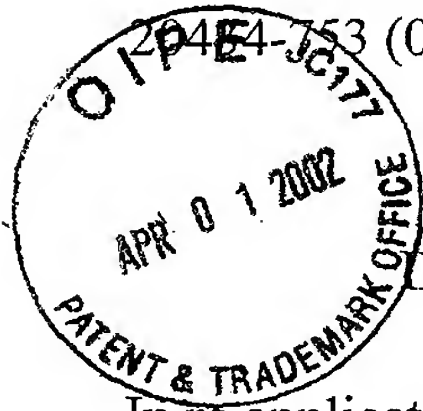
ATTORNEY'S DOCKET

04-753 (069204.0177)

PATENT APPLICATION

10/014,839

1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Mohammed N. Islam  
Serial No.: 10/014,839  
Filing Date: December 10, 2001  
Art Unit: 3662  
Confirmation No.: 5056  
Title: MULTI-STAGE OPTICAL AMPLIFIER AND  
BROADBAND COMMUNICATION SYSTEM

**BOX MISSING PARTS**

Assistant Commissioner for  
Patents  
Washington, D.C. 20231

Dear Sir:

**"EXPRESS MAIL"**

Express Mailing Label Number  
EL 759112417 US  
Date of Deposit April 1, 2001  
I hereby certify that this paper or fee is  
being deposited with the United States  
Postal Service "Express Mail Post Office  
to Addressee" service under 37 CFR 1.10  
on the date indicated above and is  
addressed to the Assistant Commissioner  
for Patents, Washington, D.C. 20231.

*Willie Jiles*  
Willie Jiles

**RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF NONPROVISIONAL APPLICATION**

This is in response to the Notice to File Missing Parts of Nonprovisional Application -  
Filing Date Granted mailed February 25, 2002. Applicant submits herewith:

(a) A copy of the Notice to File Missing Parts of Nonprovisional Application -  
Filing Date Granted.

(b) An executed Declaration and Power of Attorney for the above-referenced  
patent application, which was filed December 10, 2001.

(c) A completed Assignment with an Assignment cover sheet for the above-  
referenced patent application. The recordal fee in the amount of \$40.00 is included herewith  
for the Assignment.

(d) A Verified Statement (Declaration) Claiming Small Entity Status.

(e) A check in the amount of \$1,239.00 is enclosed for the statutory basic filing fees, which also include the \$65.00 oath or declaration surcharge for a small entity.

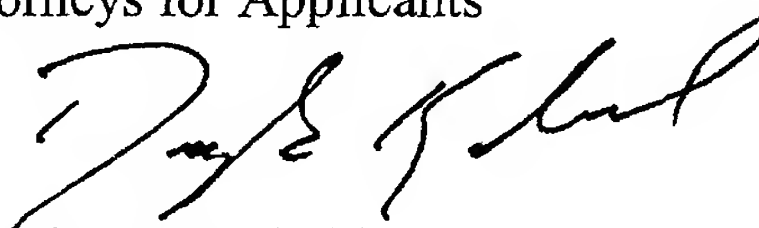
(f) A complete set of drawings in compliance with 37 C.F.R. § 1.84. The drawings submitted herewith are believed to have the proper margins and, therefore, overcome the objection raised in the Notice to Missing Parts of Nonprovisional Application. Applicant does not believe that formal drawings should be required at this time. The enclosed informal drawings are believed to be suitable for examination purposes. Any changes to the drawings made during the course of prosecution will be reflected in formal drawings, which will be submitted in due course.

(g) A Revocation of Attorney and Appointment of New Attorneys for Non-Provisional Application, with Certificate Under C.F.R. 3.73(b).

(h) The Commissioner is hereby authorized to charge any amount required or credit any overpayment to Deposit Account No. 02-0384 of BAKER BOTTS L.L.P.

Respectfully submitted,

BAKER BOTTS L.L.P.  
Attorneys for Applicants



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Reg. No. 41,915

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Date: April 1, 2002



APR 01 2002

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/014,839         | 12/10/2001          | Mohammed N. Islam     | 20434-753              |

CONFIRMATION NO. 5056

021971  
WILSON SONSINI GOODRICH & ROSATI  
650 PAGE MILL ROAD  
PALO ALTO, CA 943041050

## FORMALITIES LETTER



\*OC000000007527805\*

04/09/2002 BABRAHA1 00000051 10014839

01 FC:201 370.00 DP  
02 FC:205 65.00 DP

Date Mailed: 02/25/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

04/04/2002 BABRAHA1 00000144 10014839

FILED UNDER 37 CFR 1.53(b)

01 FC:203 594.00 DP  
02 FC:202 210.00 DP

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1608.
  - \$1188 for 66 total claims over 20.
  - \$420 for 5 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2478.**

Rec'd: RET. 04/04/2002 10:00:00 AM  
044:020384  
FEE: 704

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
  - Drawings must be reasonably free from erasures and must be free from alterations, overwritings, interlineations, folds, and copy marks.

- drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e));

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*A copy of this notice MUST be returned with the reply.*

*Y. G.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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